DOWNER THAT & TRADER KET NO. 45475-00026

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Tae Heon Lee et al.	)
		) Group Art Unit: 2811
Serial No.:	09/687,048	Examiner (Not Yet Known) RECEIVED
Filing Date:	October 13, 2000	) MAR 3 0 2001
For:	LEADFRAME AND SEM	ICONDUCTOR PACKAGE WITH  INT STRENGTH

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231 CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No.: EL487169992US
Date of Deposit: March 22, 2001

I hereby certify that this paper, including the documents referred to therein, or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Box Missing Parts

Assistant Commissioner for Patents Washington, D.C. 20231

Madam or Sir:

Type or Print Name: Carla Elkins

# <u>PETITION FOR FILING OF PATENT APPLICATION</u> <u>BY OTHER THAN ALL OF THE INVENTORS</u>

04/20/2001 LGIBBS

00000014 100447

09687048

**UNDER 37 CFR 1.47(a)** 

01 FC#556

390.00 CH

Applicants hereby petition the Commissioner to accept the filing of the above-identified U.S. patent application with declarations by other than all of the inventors. A Verified Statement of Facts of Mr. Paul W. Davis, Patent Attorney and Director of Intellectual Property for Amkor Technology, Inc. is submitted in support thereof. The facts therein establish that despite diligent efforts made by Mr. Davis, his agents of record, and/or employees under his authority to secure inventor Mu Hwan Seo's declaration, it is impossible to do so. Mr. Mu Hwan Seo, through his agents, has expressly refused to respond to requests for executing a declaration as is set forth in the accompanying Verified Statement of Facts of Mr. Davis. This is evidenced by Exhibits A through G which are attached to Mr. Davis' Verified Statement of Facts. Accordingly, relief

Dallas2 761536 v 1, 45475.00026

03/28/2001 YPOLITE1 00000076 09687048

04 FC:122

130.00 DP

OIPE VCZ.

under 37 CFR § 1.47(a) is respectfully requested. The fee set forth in 37 CFR § 1.17(i) is enclosed herewith. Further, the petitioner herein makes in the alternative a petition under 37 C.F.R. § 1.183 to waive or suspend any and all rules so as to afford the above-identified a filing date of October 13, 2000. Please debit any underpayment or credit any overpayment to Deposit Account No. 10-0447. Should any additional fees be required, the Commissioner is hereby authorized to obtain such fees from Deposit Account No. 10-0447. A duplicate copy of this sheet is attached hereto.

The last known address of Mu Hwan Seo is:

#### Work:

ST Assembly Test Services Ltd, Technology Division 5 Yishun Street 23, Singapore SINGAPORE 768445

#### Home:

263 River Valley Road #06-06 Aspen Heights, Singapore SINGAPORE

Respectfully Submitted,

JENKENS & GILCHRIST, P.C.

Kohert W. Mason

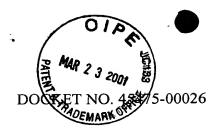
Robert W. Mason

Registration No. 42,848

Submitted: March 8, 2001

JENKENS & GILCHRIST, P.C. 1445 Ross Avenue, Suite 3200 Dallas, Texas 75202-2799
Telephone: 214-855-4196

Telephone: 214-855-4196 Facsimile: 214-855-4300



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Tae Heon Lee et al.	)	Group Art Unit: 2811	
Serial No.:	09/687,048	)	Examiner (Not Yet Known)	
Filing Date:	October 13, 2000	)		RECEIVED
For:	LEADFRAME AND SE IMPROVED SOLDER J		JCTOR PACKAGE WITH ENGTH	MAR 3 0 2001  OFFICE OF PETITIONS

# <u>VERIFIED STATEMENT OF FACTS OF PATENT ATTORNEY PAUL W. DAVIS</u> <u>UNDER 37 C.F.R. § 1.47</u>

As Director of Intellectual Property and a registered patent attorney on behalf of Amkor Technology, Inc. ("AMKOR") and Amkor Technology Korea, Inc. ("ATK") which is a wholly owned subsidiary of AMKOR, I hereby state and declare that:

- 1. I am currently the Director of Intellectual Property for AMKOR, and have held this position with AMKOR since September 5, 2000.
- 2. ATK's acquisition of Anam Semiconductor, Inc.'s ("ANAM") Korean divisions K-1, K-2, K-3 and K-4 was completed on May 1, 2000.
- 3. AMKOR acquired through its wholly owned subsidiary ATK, inter alia, all rights to ANAM's intellectual property. This included all rights to the above-identified application.
- 4. Mr. Mu Hwan Seo ("SEO") was hired by ANAM on July 20, 1987 and resigned from the ANAM on March 24, 2000, prior to its acquisition by ATK.

- The patent application herein identified was filed with the U.S. Patent and Trademark Office on October 13, 2000 and named Tae Heon Lee, Young Suk Chung and recalcitrant SEO as co-inventors. This application claimed convention priority to Korean Patent Application No. 99-44650 as filed on October 15, 1999.
- 6. On October 12, 2000, recalcitrant SEO was sent, via DHL Worldwide Express courier service by an agent acting under my authority, a true and accurate copy of the above-identified U.S. patent application, including the entire specification and drawings, along with a letter in which we requested his review of the application documents and his signature on the declaration and assignment documents relating to the filing and the prosecution of the above-identified U.S. patent application. A true and correct copy of this letter to SEO is attached as **EXHIBIT A**.
- 7. In a letter dated October 30, 2000, counsel for recalcitrant SEO expressly stated that AMKOR was not to contact the recalcitrant SEO regarding the U.S. patent application identified herein. A true and correct copy of this letter from the recalcitrant SEO's counsel is attached hereto as **EXHIBIT B**.
- 8. On January 15, 2001 and January 16, 2001 respectively, Tae Heon Lee and Young Suk Chung signed the combined Declaration with Power of Attorney document, which is believed to meet the requirements of 37 C.F.R. § 1.47(a), 35 U.S.C. § 116, and the additional requirements as set forth in M.P.E.P §409.03(a)(1-3). A true and correct copy of said document as executed by Inventors Lee and Chung in connection with the U.S. patent application discussed herein is attached as

#### EXHIBIT C.

- 9. On January 15, 2001 and January 16, 2001 respectively, Tae Heon Lee and Young Suk Chung executed an assignment document assigning their rights to the above-identified U.S. patent application to AMKOR. This establishes a protectable proprietary interest in the above-identified U.S. patent application. A true and correct copy of the assignment is attached hereto as **EXHIBIT D**.
- 10. Although having requested the same, AMKOR has not yet received an executed combined Declaration with Power of Attorney document from recalcitrant SEO in support of the U.S. patent application herein identified.
- 11. Upon information and belief, recalcitrant SEO originally agreed to assign all rights in any patent application made during his employment at ANAM in accordance with "rules", "orders" and "business instructions." Once the Korean K-1, K-2, K-3 and K-4 divisions of ANAM were acquired by ATK, recalcitrant SEO's duties under his employment agreement or written pledge with ANAM became an obligation to ATK, as well as its parent company AMKOR. A true and correct copy of the employment agreement (or written pledge) is attached as **EXHIBIT E**.
- 12. Attached as **EXHIBIT** F is a true and correct copy of a Power of Attorney granting Paul W. Davis, the Director of Intellectual Property for AMKOR, the authority to act on behalf of AMKOR on all matters relating to patents before the U.S. Patent & Trademark Office, as well as all foreign patent offices.
- 13. Attached as **EXHIBIT G** is a true and correct copy of a Power of Attorney granting Paul W. Davis, the Director of Intellectual Property for AMKOR, the

authority to act on behalf of wholly-owned subsidiary ATK on all matters relating to patents before the U.S. Patent & Trademark Office, as well as all foreign patent offices.

- 14. AMKOR has obtained rights to the above-identified U.S. patent application through its wholly-owned subsidiary ATK, which in turn obtained its rights through its acquisition of the Korean K-1, K-2, K-3 and K-4 divisions of ANAM, which had rights to the application by virtue of its employment of the recalcitrant SEO and, upon information and belief, in accordance with recalcitrant SEO's employment agreement.
- 15. I declare further that all statements made herein of my own knowledge are true, and that all statements made on information and belief are to be true; and further that these statements are made with the knowledge that false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

Paul W. Davis, Director of Intellectual Property

Reg. No. 44,645

AMKOR TECHNOLOGY, INC.

1900 South Price Road Chandler, Arizona 85248

#### NOTARY ACKNOWLEDGMENT

#### **STATE OF ARIZONA**

#### **COUNTY OF MARICOPA**

This instrument was acknowledged before me by Paul W. Davis, as Director of Intellectual Property of AMKOR TECHNOLOGY, INC., a Delaware corporation, on behalf of said corporation.

Given under my hand and seal of office this \_\_\_\_\_ day of March, 2001.

SHARON L. HOSFORD
Notary Public - State of Artzona
MARICOPA COUNTY
My Comm. Expires March 31, 2004

SEAL)

Sharon L. Hosford NOTARY PUBLIC State of Arizona

My Commission Expires: March 31, 2004



# Jenkens & Gilchrist

1445 ROSS AVENUE SUITE 3200 DALLAS, TEXAS 75202

(214) 855-4500 TELECOPIER (214) 855-4300

www.jenkens.com

October 12, 2000

AUSTIN, TEXAS
(512) 499-3800
CHICAGO, ILLINOIS
(312) 425-3900
HOUSTON, TEXAS
(713) 951-3300
LOS ANGELES, CALIFORNIA
(310) 820-8800
SAN ANTONIO, TEXAS
(210) 246-5000
WASHINGTON, D.C.
(202) 326-1500

#### Via DHL

Mr. Mu Hwan Seo 263 River Valley Road #06-06 Aspen Heights Singapore

Stanley R. Moore

(214) 855-4713 smoore@jenkens.com

Re:

Patent Applications

Dear Mr. Seo:

During your former employment at Anam Semiconductor, Inc. in Korea ("Anam"), you participated in several inventions and were named as a co-inventor in the following Korean applications:

99-44649

99-44650

99-44651

99-44662

Anam (which merged with Amkor Technology Korea, Inc. after you left Anam) now wishes to pursue the Korean applications in the United States of America. To do so, we ask you to review the following U.S. applications (copies of which are enclosed with this letter) and, if accurate, execute the accompanying declarations and assignments where indicated with "SIGN HERE" stickers:





# Jenkens & Gilchrist

Mr. Mu Hwan Seo October 12, 2000 Page 2

Attorney Docket #	Title
45475-00017	Method For Making a Semiconductor Package Having Improved Defect Testing and Increased Production Yield
45475-00026	Leadframe and Semiconductor Package With Improved Solder Joint Strength
45475-00027	Semiconductor Package Having Reduced Thickness
45475-00030	Improved Method For Making Semiconductor Packages

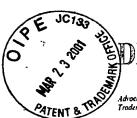
Please note that we believe the enclosed U.S. applications are confidential to Amkor Technology Korea, Inc. You made a Written Pledge to Anam that we have had translated. It is our understanding from that translation that you promised to "not give or leak any information that you obtain related to...plans of the company or any other industrial information about your company to anyone..." and "not give or leak any information related to production and technology of your company to anyone except for cooperation within the company instructed by a higher office." See paragraphs 4 and 5 of your Written Pledge, a copy of which is also enclosed herewith. We trust that you will comply with your enduring obligations under this Written Pledge.

Thank you very much for your anticipated cooperation.

Very thuly yours,

Stanley R. Moore

SRM:cag Enclosures



# DREW & NAPIER Singapore Office: 20 Raffles Place #17-00 Ocean Towers

Estd 1889

Advocates & Solicitors, Commissioners for Oaths & Notaries Public, Tendemark & Palent Agents

Singapore 048620 Republic of Singapore Telephone (65) 535 0733 Telex JURES RS 21361 Cable JURES Singapore Office Hours: Mon-Fri : 9.00am - 5.30pm

office hours & on holidays: Mobile (65) 9726 0573

Facsimile: (65) 535 4906 Main Line (65) 535 4864 Banking/ Corporate/Tax (65) 535 1952 Conveyancing (65) 533 0694 Intellectual

Regional Offices:

(Hanoi)

 Malaysia (Kuala Lumpur) Drewmarks Patents & Design

Telephone (03) 2162 2522/25. Facsimile (03) 2162 2804

Drew & Napier, Vietnam Brc

Telephone (844) 514 1995/19

Facsimile (844) 514 1972

(Malaysia) Sdn Bhd

Property (65) 532 7149 Litigation/Shipping (65) 533 0693 Litigation/Shipping

Website: www.drewnapier.com E-mail: mail@drewnapier.com Direct E-mail:

Our Reference DS/JKC/stn/198092

Direct Dial 531 2402

Your Reference 45475-00008

Date

30 October 2000

Mr Stanley R. Moore Jenkens & Gilchrist 1445 Ross Avenue Suite 3200 Dallas, Texas 75202 United States of America

Via Facsimile/By Courier 012-1214-855-4300 No of Pages: 2

Dear Mr Moore

#### EMPLOYMENT AND TECHNOLOGY MATTERS

Further to our correspondence concerning Messrs JaeHak Yee and MuHwan Seo, we are instructed that your firm has attempted to contact the said STATS employees directly without our or our clients' knowledge. You have also sent unsolicited packages to Mr Yee and Mr Seo. These packages are being returned to you by courier with the confirmation of this letter.

We are instructed that Mr Yee and Mr Seo had inadvertently opened the packages but when they saw your covering letter and realised what the packages contained, they immediately returned the contents to the packages and sent the packages to us for return to you. They have not made or retained any copies. As the packages were unsolicited, we do not regard Mr Yee, Mr See, our clients or indeed ourselves to be under any obligation of confidentiality in relation thereto.

We consider this to be very serious matter, particularly as you have been in communication with us on the same issue and we are discussing the NDA. Please let us have the name of the person who made the contact, as our clients may wish to take this further. Henceforth, please do not contact our clients or send them anything.

.../2

#### Please note:

This communication is for the addressee only and may contain confidential information and/or may be subject to legal privilege. If it has reached you in error, please inform us immediately on telephone numbers 531 2524, reverse charges if necessary

Managing Partner Davinder Singh, Senior Counsel

Senior Consultant J Grimberg, Senior Counsel

Partners R Rai Sincam Chua Bee Lan Leena Pinsler Morris John David And Teoh Lian Ee Jimmy Yim, Senior Counse Dedar Singh Gill Andrew C L Ong Gary Pryke Indrance Rajah

Chan Chen Yee Sin Boon Ann lan Koh Christina Ng Sushil Nair Evelyn Wee Randolph Khoo Steven Seah Lim Wee Hann Joseph Kan Tan Liam Beng Hri Kumar Tony Yea Harpreet S Nehal Jennifer Tan Adrian Tan Petrus Y S Huang Michael Chia Rosabel Ng Manoj Sandrasegara Cavinder Bull

Christopher Chuah

Lisa Chan Lim Lei Theng Cecelia Girvio Lawrence Tan

Consultants S C Lim S Saurajen

Senior Associates Jacqueline Baruch Lai Wai Leng Rofina Tham Shirley Cheng Kelvin Tan Andrew Ang Jupiter Kong Han Jen LI Ameera Ashraf lan de Vaz Lee Chau Ee Valerie Kwok

Gillian Woon Lee-Chan Yee Min Lim Chona Kin Lim Gek Choo Evangelina Wee Julian Kwek Anne Yeo Gwendolyn Fong Blossom Hing Edmund J Kronenburg Penny Leng Julian Lim

Lisa Yong
Raymond Oh
Pearleen Loh
Kemmy C Chan
Gerald Koh Chen Shu Tyin Sandy Foo Brian Lee Ying Wah Kong Seh Ping Pradeep Kumar Gobind

Lynette Lee Kelvin Tan Miang Ser Ngiam Keng Joon Leong Chee Wei

Associates **Emily Low** Siraj Omar Sham J Sabnani Tan Mul Tze Ajay J Advani Yap Pett Chin Vivien Teu Celeste Ang Wilson Ang Harvinder Kaur Vernon Loh Yvonne Tang Gary Wan Abraham Vergis Rama Shankar Tiwari

Adeline Wee Eric Chew Lim Siau Wen Kevin Lim Jean Thio Werner Tsu Joanna Koh Loretta Yuen Rosalind Ng Wendell Wong Christian Chin Adrian Kwong Laura Snodgrass Lauren Li Lim Xuan Samantha Loh Jacqueline Ng Pang Yeong Piao Andrew Quah Tan Choon Len Danny Tan Tan Su-Lin Veronica Joseph Nicholas Watt Serene Wee Evelyn Yap Cheam Li-Shenn Suresh Divyanathan Kalvani Rajendran Zulkifii Bin Mohd Amin Derek Ho Angeline Lee

Senior International La John K Moline (WI,USA)

International Lawyers Grace Ho (NSW,Aust) Carole Gaud (France)

**EXHIBIT** 

Regional Desks: Greater China, India, Indonesia, Malaysia & Vietnam

Page 2 Mr Stanley R. Moore Jenkens & Gilchrist 30 October 2000

Please also let us have your reply on the draft NDA.

Yours faithfully

Drew & Napier

cc. clients

...



PATENT APPLICATION DOCKET NO.: 45475-00026

99-44650

## RULES 63 AND 67 (37 C.F.R. 1.63 and 1.67) DECLARATION AND POWER OF ATTORNEY

#### FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **LEADFRAME AND SEMICONDUCTOR PACKAGE WITH IMPROVED SOLDER JOINT STRENGTH**, the specification of which: (mark only one)

	(a)	is attached hereto.
_X_	(b)	was filed on 10/13/00 as Application Serial No. 09/687,048
	(c)	was filed as PCT International Application No. PCT/ on and was
		amended on (if applicable).
	(d)	was filed on as Application Serial No and was issued a Notice of
		Allowance on
_	(e)	was filed on and bearing attorney docket number

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR ' 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. ' 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR ' 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. ' 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this application:

#### **PRIOR FOREIGN PATENTS**



Date first laid-Date Month/Day/Year **Priority Claimed** Number Country open or patented or Filed Yes No Published Granted Korea Oct. 15, 1999 XX 99-44650

I hereby claim the benefit under 35 U.S.C. ' 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

#### PRIOR U.S. OR PCT APPLICATIONS

Application No. (series code/serial no.) Month/Day/Year Filed Status(pending, abandoned, patented)

NONE

#### I hereby appoint:

TIMOTHY G. ACKERMANN, Reg. No. 44,493 BENJAMIN J. BAI, Reg. No. 43,481 MICHAEL J. BLANKSTEIN, Reg. No. 37,097 MARY JO BOLDINGH, Reg. No. 34,713 MARGARET A. BOULWARE, Reg. No. 28,708 ARTHUR J. BRADY, Reg. No. 42,356 MATTHEW O. BRADY, Reg. No. 44,554 DANIEL J. BURNHAM, Reg. No. 39,618 THOMAS L. CANTRELL, Reg. No. 20,849 RONALD B. COOLLEY, Reg. No. 27,187 THOMAS L. CRISMAN, Reg. No. 24,846 STUART D. DWORK, Reg. No. 31,103 WILLIAM F. ESSER, Reg. No. 38,053 ROGER J. FRENCH, Reg. No. 27,786 JANET M. GARETTO, Reg. No. 42,568 JOHN C. GATZ, Reg. No. 41,774 RUSSELL J. GENET, Reg. No. 42,571 J. KEVIN GRAY, Reg. No. 37,141

STEVEN R. GREENFIELD, Reg. No. 38,166 J. PAT HEPTIG, Reg. No. 40,643 SHARON A. ISRAEL, Reg. No. 41,867 JOHN R. KIRK JR., Reg. No. 24,477 PAUL R. KITCH, Reg. No. 38,206 TIMOTHY M. KOWALSKI, Reg. No. 44,192 HSIN-WEI LUANG, Reg. No. 44,213 JAMES F. LEA III, Reg. No. 41,143 ROBERT W. MASON, Reg. No. 42,848 ROGER L. MAXWELL, Reg. No. 31,855 ROBERT A. McFALL, Reg. No. 28,968 LISA H. MEYERHOFF, Reg. No. 36,869 STANLEY R. MOORE, Reg. No. 26,958 RICHARD J. MOURA, Reg. No. 34,883 MARK V. MULLER, Reg. No. 37,509 P. WESTON MUSSELMAN JR. Reg No. 31,644 DANIEL G. NGUYEN, Reg. No. 42,933

SPENCER C. PATTERSON, Reg. No. 43,849 RUSSELL N. RIPPAMONTI, Reg. No. 39,521 ROSS T. ROBINSON, Reg. No. 47,031 STEPHEN G. RUDISILL,, Reg. No. 20,087 HOLLY L. RUDNICK, Reg. No. 43,065 J.L. JENNIE SALAZAR, Reg. No. 45,065 KEITH W. SAUNDERS, Reg. No. 41,462 JERRY R. SELINGER, Reg. No. 26,582 GARY B. SOLOMON, Reg. No. 44,347 STEVE Z. SZCZEPANSKI, Reg. No. 27,957 ANDRE M. SZUWALSKI, Reg. No. 35,701 ALAN R. THIELE, Reg. No. 30,694 TAMSEN VALOIR, Reg. No. 41,417 RAYMOND VAN DYKE, Reg. No. 34,746 BRIAN D. WALKER, Reg. No. 37,751 GERALD T. WELCH, Reg. No. 30,332 HAROLD N. WELLS, Reg. No. 26,044 WILLIAM D. WIESE, Reg. No. 45,217

all of the firm of JENKENS & GILCHRIST, a Professional Corporation, 1445 Ross Avenue, Suite 3200, Dallas, Texas 75202-2799, as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application, provisionals thereof, continuations, continuations-in-part, divisionals, appeals, reissues, substitutions, and extensions thereof and to transact all business in the United States Patent and Trademark Office connected therewith, to appoint any individuals under an associate power of attorney and to file and prosecute any international patent application filed thereon before any international authorities, and I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct them in writing to the contrary.

Please address all correspondence and direct all telephone calls to:

Stanley R. Moore, Esq. Jenkens & Gilchrist, P.C. 1445 Ross Avenue, Suite 3200 Dallas, Texas 75202-2799 214/855-4500 214/855-4300 (fax)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

#### NAMED INVENTOR(S)

	Tae Heon Lee	71)	1/15/200)	
	Full Name	Inventor's Signature	Date	
1	602-1504 Inchang 6-danji Jugong A Inchang-dong, Kuri-shi, Kyunggi-d Republic of Korea			
	Residence (city, state, country)		Citizenship	
	602-1504 Inchang 6-danji Jugong Apt. Inchang-dong, Kuri-shi, Kyunggi-do Republic of Korea			
	Post Office Address (include zip code	)		

(FOR ADDITIONAL INVENTORS, check here X and add additional sheet for inventor information regarding signature, name, date, citizenship, residence and address)

Young Suk Chung

Full Name

Inventor's Signature

7-501 Kyungnam Apt.
Bangbae 3-dong, Sucho-gu, Seoul
Republic of Korea

Residence (city, state, country)

7-501 Kyungnam Apt.
Bangbae 3-dong, Sucho-gu, Seoul
Republic of Korea

Post Office Address (include zip code)

	Mu Hwan Seo		
	Full Name	Inventor's Signature	Date
3	263 River Valley Road #06-06 Aspen Heights, Singapore	Korean	
	Residence (city, state, country)	Citizens	hip
	263 River Valley Road #06-06 Aspen Heights, Singapore	•	
	Post Office Address (include zip code	e)	

## ASSIGNMENT

WHEREAS, We, Tae Heon Lee, Young Suk Chung and Mu Hwan Seo, have invented certain new and useful improvements in the following **ALEADFRAME AND SEMICONDUCTOR PACKAGE WITH IMPROVED SOLDER JOINT STRENGTH@** for which we have made application for United States Letters Patent, the said application having been filed on October 13, 2000 and having a serial number of 09/687,048;

WHEREAS, AMKOR TECHNOLOGY, INC., a corporation organized and existing under the laws of Delaware, having a place of business at 1900 S. Price Road, Chandler, AZ 85248-1604, U.S.A., (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives our entire right, title and interest in and to said invention and in and to said applications and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuation-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said applications throughout the

Assignment 454Page61



world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

We further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We further agree to communicate with said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

2001	IN WITNESS WHEREOF, I I	nave hereunto	set my hand and seal this K day of Jan,
	·	71	)1/15/2001
	Name: Address	s: 602-1 Incha	leon Lee 504 Inchang 6-danji Jugong Apt. ng-dong, Kuri-shi, Kyunggi-do
		Repul	olic of Korea
		the individu	_ before us personally appeared Tae Heon Lee, al named in and who executed the foregoing I the same.
Two V	Vitnesses: L. S. Uc	Name: Address:	Yong Suk Yoo 210-1007 Daechi APT., Gaepo-dong, Kangnam-gu, Seoul, Republic of Korea
	WITNESS (1) SIGNATURE		PRINTED NAME ADDRESS
2.	4	Name: Address:	Jun Ho Cho 115-17 Myonmok-dong, Jungrang-gu, Seoul, Republic of Korea
	WITNESS (2) SIGNATURE		PRINTED NAME ADDRESS

•		I have hereunto set my hand and seal this 16 day of Jan,		
		Name:	Young Suk Chung	
		Address:	7-501 Kyungnam Apt.	
			Bangbae 3-dong, Sucho-gu, Seoul	
			Republic of Korea	
	and known to u	us to be the indi		
Two Witnesses:			Yong Suk Yoo	
Y	, S. Le	Address:	Yong Suk Yoo 210-1007 Daechi APT., Gaepo-dong, Kangnam-gu, Seoul, Republic of Korea	
ı. <u> </u>	S. U	Address:	210-1007 Daechi APT., Gaepo-dong,	
ı. <u> </u>	S. U	Address:	210-1007 Daechi APT., Gaepo-dong, Kangnam-gu, Seoul, Republic of Korea	
ı. <u> </u>	S. U	Address:	210-1007 Daechi APT., Gaepo-dong, Kangnam-gu, Seoul, Republic of Korea  PRINTED NAME	
ı. <u> </u>	S. W ) SIGNATURI	Address:	210-1007 Daechi APT., Gaepo-dong, Kangnam-gu, Seoul, Republic of Korea  PRINTED NAME ADDRESS  Jun Ho Cho 115-17 Myonmok-dong,	
Two Witnesses:  1. WITNESS (1)	S. W ) SIGNATURI	Address:	210-1007 Daechi APT., Gaepo-dong, Kangnam-gu, Seoul, Republic of Korea  PRINTED NAME ADDRESS  Jun Ho Cho	

	IN WITNESS WHEREOF,	I have hereunte	o set my hand and seal this	_ day of
_200	1			
		Name: Address:	Mu Hwan Seo 263 River Valley Road #06- Aspen Heights, Singapore	-06
		be the individu	before us personally appeare all named in and who executed the same.	
Two	Witnesses:			
1.	WITNESS (1) SIGNATUR	E	PRINTED NAME ADDRESS	
2.	WITNESS (2) SIGNATURE	E	PRINTED NAME ADDRESS	

## 근로계약서

본 계약을 체절함에 있어 금후 노사 상호간 성실히 본 계약을 이행한 것을 서약하고 본 계약을 체결함.

- 1. 취 업 장소: 아남산업주식회사
- 2. 쉬엄격증 및 닷당업무:
- 3. 근 로 시 간: ( 등미용)시한 시간
- 4. 휴 식 시 간: 1 시간
- 6. 근 모 조 건 :취업규칙이 거하는 바에 의합

본 계약서는 2통을 작성하여 각각 1등씩 보관기로 함.

10 87 4 7 4 2-0 al

가. 사용자 서운록생시 성동구 화양동 151-22 아 남 산 업 주 식 회 성 명 김 향

나 근로 자 <u>주 소 처음지 권학구 진립4동 5/6-11</u>
생생일 6/.3.8 주민동독번호 6/0308-/0258/W

53041



MH SEO



1804/

## 서 약 서

본인은 금반 귀사에 채용되어 근무하게 되었사온바 다음 제 각항을 무위준수할 것이며 아래 각항을 위반시에는 귀사의 여하한 조치에도 하등의 이외를 제기하지 않은 것을 보증인 등의 연대보충하에 서약합니다.

- 1. 본인은 앞은바 직무에 충실하여 회사의 발진함상에 노력하겠습니다.
- 2. 본인은 제 규정과 규칙 및 명령시단을 준수함은 물론 상사의 업무상 지시에 복증 · 하겠습니다.
- 3. 본인은 여하한 사유로 인하여 귀사를 피사하더라도 띄사후 2년 이내에는 동일당 \* 중에 취업하지 않겠습니다.
- 4, 본인은 회사경영과 판련된 원계의 현광 및 계획 등의 재반 지독사항과 당사의 산 업정보에 해당하는 일체의, 제반정보에 대하여 사내외를 막돈하고 타인에게 누설 또는 유급치 않겠습니다.
- 5. 본인은 회사내의 인세의 생산 및 기술정보에 관하여 상사의 지시에 의한 사내 업무 협조 이외에는 타인에게 일절 누설 또는 유출치 않겠습니다.
- 6. 본인은 귀사와의 관계유무를 막푠하고 타 영업행위를 않겠습니다.
- 7. 본인은 본인의 고의 또는 부주위로 귀사에 끼친 손해에 대하여 재직시는 물론 되 사후에라도 이의없이 번제하겠습니다.
- 8. 본인은 사회위반이나 업무상 부적당하다고 인정되어 해고될 경우에는 이를 감수 하겠으며 이의물 제기하지 않겠습니다.

## 199 7 년 년 월 월 / 6 일

아남산업주식회사사장귀하

서약자 소속: KI 제2기술 그림
지위: 과장 생명: 서구의 (인)
연대보증인 주소: 대대 대학 기상을 1272-3 한데바 [네-3] (네, 리위: 과장 생명: 이 반설 (인)
연대보증인 주소: 서울 양관구 측상등 9/3 (26/04) 부동 사기고) APT
지위: 보장 생명: 장면 (인) (135-305)



December 1, 2000

To Whom It May Concern:

As the Chief Financial Officer of Amkor Technology, Inc. and consistent with the Articles of Incorporation, I hereby authorize Paul W. Davis, the Director of Intellectual Property Technology, Amkor Inc., to act Behalf of on Inc. on all matters relating to patents, trademarks Technology, and related applications before the U.S. Patent and Trademark Office; copyrights and copyright applications before the u.s. Copyright Office; foreign patents, trademarks and copyrights and related applications before those offices of foreign countries and all competent international authorities including, but not limited to, those filed under multilateral agreement and the Patent Cooperation Treaty.

Sincerely,

Renneth 1.00yde Chief Financial Officer

Bhumberg No. 5119

EXHIBIT



### To Whom It May Concern:

As an Officer of Amkor Technology Korea, Inc. and consistent with the Articles of Incorporation, I hereby authorize Paul W. Davis, the Director of Intellectual Property for Amkor Technology, Inc., to act on Behalf of Amkor Technology Korea, Inc. on all matters relating to patents, trademarks and related applications before the U.S. Patent and Trademark Office; copyrights and copyright applications before the U.S. Copyright Office; foreign patents, trademarks and copyrights and related applications before those offices of foreign countries and all competent international authorities including, but not limited to, those filed under multilateral agreement and the Patent Cooperation Treaty.

Feb. 23, 2001

Jung-Ihl Kim

Chief Operating Officer

Amkor Technology Korea, Inc.

Amkor Technology Korea, Inc. 280-8, 2-ga, Seongsu-dong, Seongdong-gu, Seoul 133-706, Korea 822.460.5114 • 822.465.2607 fax • www.amkor.co.kr

